SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* ERICKSON RONALD P				Name and Ticker of ALANT INC	0,	bol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) 500 UNION STREET			3. Date o 03/16/20	f Earliest Transacti 018	on (Month/Day/	Year)	X Director 10% Own X Officer (give title Other (spe below) CEO and President			specify		
SUITE 810			4. If Ame	ndment, Date of Or	riginal Filed (Mo	nth/Day/Year)		idual or Joint/Group F	•	cable Line)		
(Street)							X	Form filed by One		ng Porson		
SEATTLE	WA	98101						I offit med by more	than one rreport	ng reison		
(City)	(State)	(Zip)										
		Table I - No	n-Derivative S	ecurities Acq	uired, Disp	osed of, or Beneficia	lly Ow	ned				
Date			2. Transaction Date	2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial		

(Month/Day/Year) if any (Month/Day/Year) if any (Month/Day/Year) Code (Instr. 8) Code V Amount (A) or Price (Instr. 3 and 4)

		Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		
Common Stock							120,934	D	
Common Stock							3,334	Ι	.(1)
Common Stock							1,467	Ι	.(2)
Common Stock							20,335	Ι	.(3)
Common Stock							110,001	Ι	.(4)
Common Stock							2,014	Ι	.(5)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puls, cans, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Warrant to Purchase Common Stock	\$0.5 ⁽⁶⁾	03/16/2018	01/31/2018	A		855,000		01/31/2018	01/31/2023	Common Stock	855,000	\$0	855,000	D	
Warrant to Purchase Common Stock	\$0.5 ⁽⁶⁾	03/16/2018		A		1,039,666		03/16/2018	03/16/2023	Common Stock	1,039,666	\$0	1,039,666	I	.(4)

Explanation of Responses:

1. Held by Dia Armenta, the Reporting Person's wife.

2. Held by Ronald Erickson, the Reporting Person and Dia Armenta, the Reporting Person's wife.

3. Held by Juliz Limited Partnership- Family LP.

4. Held by J3E2A2Z Limited Partnership.

5. Held by Cerillion N4, an entity that is 50% owned by Dia Armenta, the Reporting Person's wife.

6. Price subject to adjustment.

/s/ Ronald P. Erickson

** Signature of Reporting Person

03/20/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Ownership

(Instr. 4)