SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address ERICKSON R	s of Reporting Person	*		Name and Ticker of ALANT INC	0,	ibol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 500 UNION STR	(First)	(Middle)		of Earliest Transacti		/Year)		Officer (give title Other (aposit				
SUITE 420				endment, Date of Or	iginal Filed (Mo	onth/Day/Year)		6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) SEATTLE	WA	98101						X	Form filed by One I Form filed by More		ng Person	
(City)	(State)	(Zip)										
		Table I - No	n-Derivative \$	Securities Acq	uired, Disp	osed of, or l	Benefi	cially Ow	vned			
1. Title of Security (I	Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Ac Disposed Of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

	1	[(/						1 ((Instr. 4)	
			Code V A		Amount	(A) or (D)	Price	[·] Transaction(s) (Instr. 3 and 4)			
Common Stock	07/06/2017		Р		2,000	A	\$0.23	20,934	D		
Common Stock								3,334	I	.(1)	
Common Stock								1,467	I	.(2)	
Common Stock								20,336	I	.(3)	
Common Stock								110,001	I	.(4)	
Common Stock								1,014	Ι	.(5)	
Table II. Derivative Securities Acquired Dispessed of an Reneficially Owned											

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Series A Warrant	\$0.15							06/14/2013	06/14/2018	Common Stock	33,333		0	Ι	.(4)
Series B Warrant	\$0.2							06/14/2013	06/14/2018	Common Stock	33,334		0	Ι	.(4)
Option to Purchase Common Stock	\$22.5							(6)	05/09/2020	Common Stock	13,334		13,334	D	
Option to Purchase Common Stock	\$19.5							(7)	06/05/2022	Common Stock	6,667		6,667	D	

Explanation of Responses:

1. Held by Dia Armenta, the Reporting Person's wife.

2. Held by Ronald Erickson, the Reporting Person and Dia Armenta, the Reporting Person's wife.

3. Held by Juliz Limited Partnership- Family LP.

4. Held by J3E2A2Z Limited Partnership.

5. Held by Cerillion N4, an entity that is 50% owned by Dia Armenta, the Reporting Person's wife.

6. Option shares fully-vested quarterly over 2 years commencing 05/10/2010.

7. Option shares fully-vested quarterly over 1 year commencing 06/05/2012.

/s/ Ronald P. Erickson

** Signature of Reporting Person

07/07/2017 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.