SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

In

							()														
1. Name and Address of Reporting Person* ERICKSON RONALD P					2. Issuer Name and Ticker or Trading Symbol VISUALANT INC [VSUL]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
<u>LRICKSON KONALD I</u>				2	3. Date of Earliest Transaction (Month/Day/Year)										Х	Director			10% Ov	vner	
(Last)	(First)) (Middle)		09/10/2012					uon (wontin/Day/rear)					X	Officer (give title below)			Other (s below)	specify	
500 UNION STREET															CEO						
SUITE 406				4	4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line)						
															X Form filed by One Reporting Person						
(Street)																	•	•	One Reportin	g Person	
SEATTLE	WA	ç	08101																		
(City)	(State	e) (Zip)	_																	
(0.13)	(oran																				
		Т	able I - No	n-Deriva	tive S	ecuri	ties A	cqu	ired, D	Disp	osed	of, or l	Benef	icially	/ Ow	ned					
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		ə, [.]	Code (Instr.			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				nd 5) Securities Beneficially Following R		Form	vnership 1: Direct (D) direct (I) 7. 4)	7. Nature of Indirect Beneficial Ownership		
									Code	v			(A) or (D)	Price	e	Transaction (Instr. 3 and				(Instr. 4)	
Common Stock				09/11/2	09/11/2012				Р		20,000		Α	\$(0.1	1,797,106			D		
Common Stock															500,000		Ι		.(1)		
Common Stock														66,667		Ι		.(2)			
Common Stock								ĺ							1,000,000		Ι		.(3)		
Common Stock								ĺ							2,000,000		Ι		.(4)		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
(e.g., puts, calls, warrants, options, convertible securities)																					
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Date Execution Date if any		Execution Dat	Code (Instr.		Deriva Securi	ities red (A) posed (Instr.	Exp	6. Date Exercisable a Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlyin Derivative Security (I and 4)			str. 3	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Dat Exe	te ercisable		oiration te	Title		Amount Number Shares			Transact (Instr. 4)	ion(s)			
Option to Purchase Common Stock	\$0.15								(5)	05/	09/2020	Com Sto		3,000,	,000		3,000,0	000	D		
Option to Purchase Common Stock	\$0.13								(6)	06/	08/2022	Com		1,000,	,000		4,000,0	000	D		

Explanation of Responses:

1. Held by Dia Armenta

2. Held by eCharge Corp

3. Held by Juliz Limited Partnership- Family LP

4. Held by J3E2A2Z Limited Partnership

5. Option vests quarterly over 2 years commencing 05-10-2010

6. Option vests quarterly over 1 year commencing 06-08-2012. Option grant is subject to an increase in the 2011 Stock Incentive Plan at the 2012 Annual Shareholder Meeting.

/s/ Ronald P. Erickson

** Signature of Reporting Person

09/11/2012

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.